

# Optimizing initial values and emission factors on mesoscale air quality modelling using 4D-var data assimilation

Isabel Ribeiro | Zoi Paschalidi | Elmar Friese | Hendrik Elbern

Rhenish Institute for Environment Research (RIU - EURAD) at the University of Cologne



# How can we improve air quality modelling predictions?



# Objectives

- **Optimise chemical initial values** (background concentrations)
- **Optimise emission factors** – update emissions

## This study needs...

- Assimilation of observed data (*in-situ* stations, satellites ...)

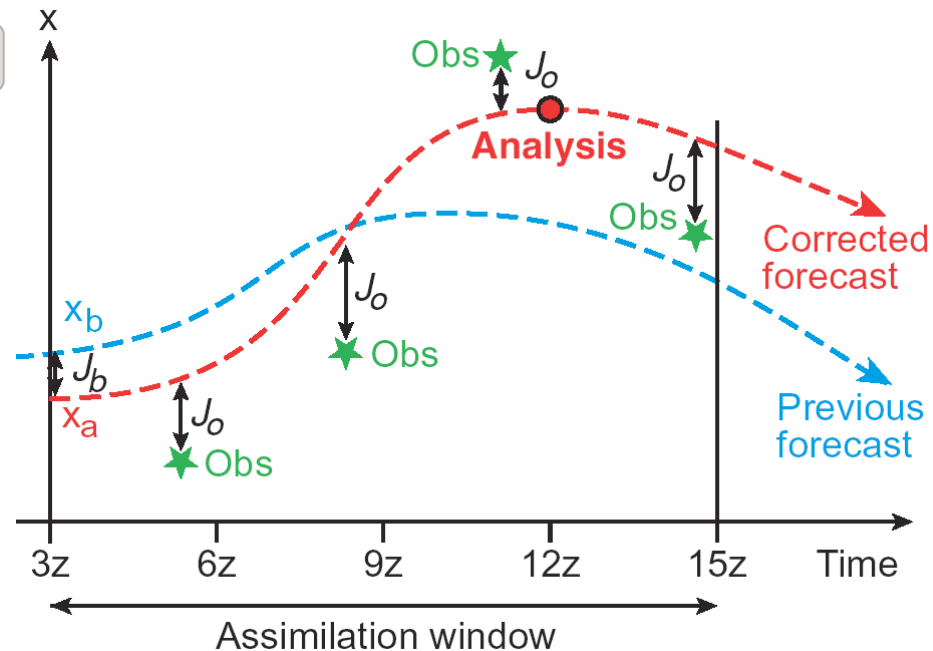
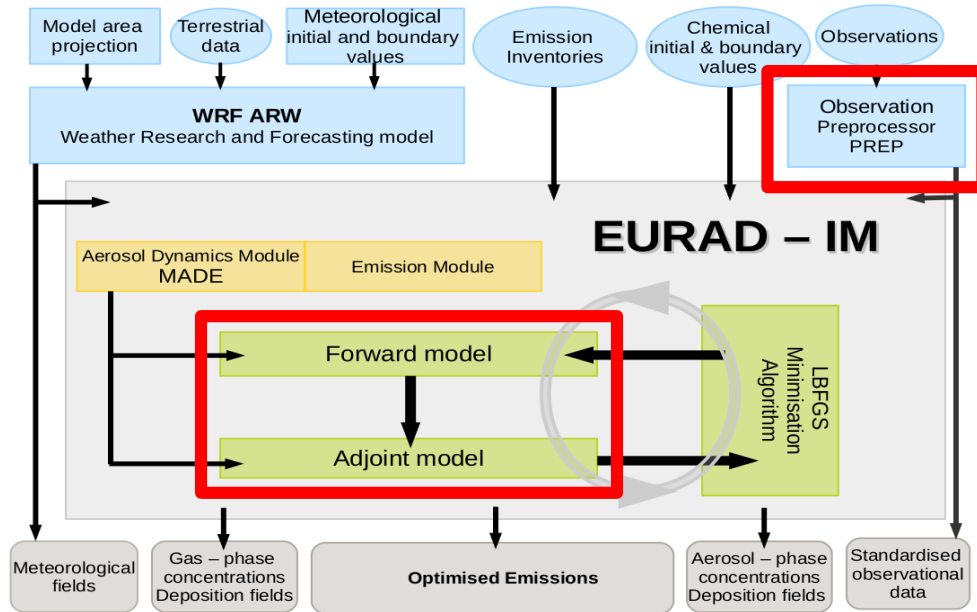
**4D-var data assimilation**  
**Inverse modelling**

## Definition

Data assimilation is an **analysis technique** in which the **observed information** is combined with physical and chemical knowledge of atmospheric processes encoded in the **numerical models**.

The **consistency of the system** is guaranteed by the **inverse simulation** of the emitted species and their products.

# EUropean Air pollution Dispersion – Inverse Model



# Cost function

Measures the distance between the model state – observations  
– background

$$J(x_o, e_o) = \underbrace{\frac{1}{2} [x_o - x_b]^T \mathbf{B}^{-1} [x_o - x_b]}_{J_{iv}} + \underbrace{\frac{1}{2} \sum_{i=0}^N \left( [HM_i(x_o) - y_i]^T \mathbf{R}^{-1} [HM_i(x_o) - y_i] \right)}_{J_{obs}} + \underbrace{\frac{1}{2} [e_o - e_b]^T \mathbf{K}^{-1} [e_o - e_b]}_{J_{ef}}$$

**Model state**  
 $x_o$ : analysis  
 $x_b$ : 1<sup>st</sup> guess

**Background Error Covariance Matrix**

**Model and Observational Operator**

**Observations Error Covariance Matrix**

**Emission Factor Error Covariance Matrix**

**To minimize!**



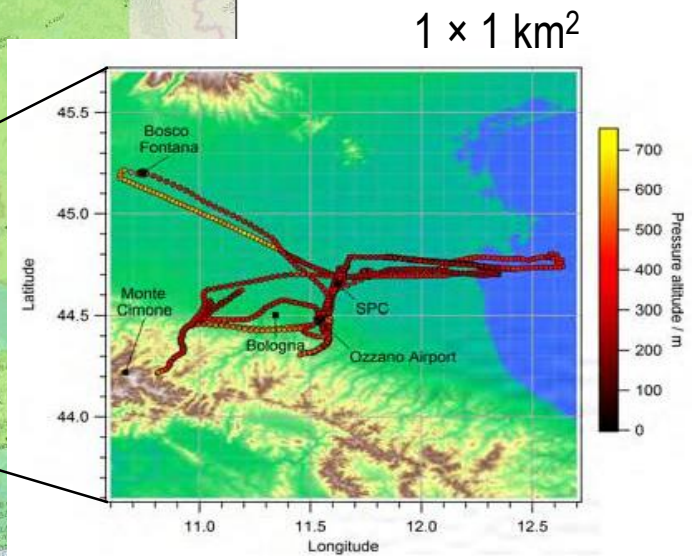
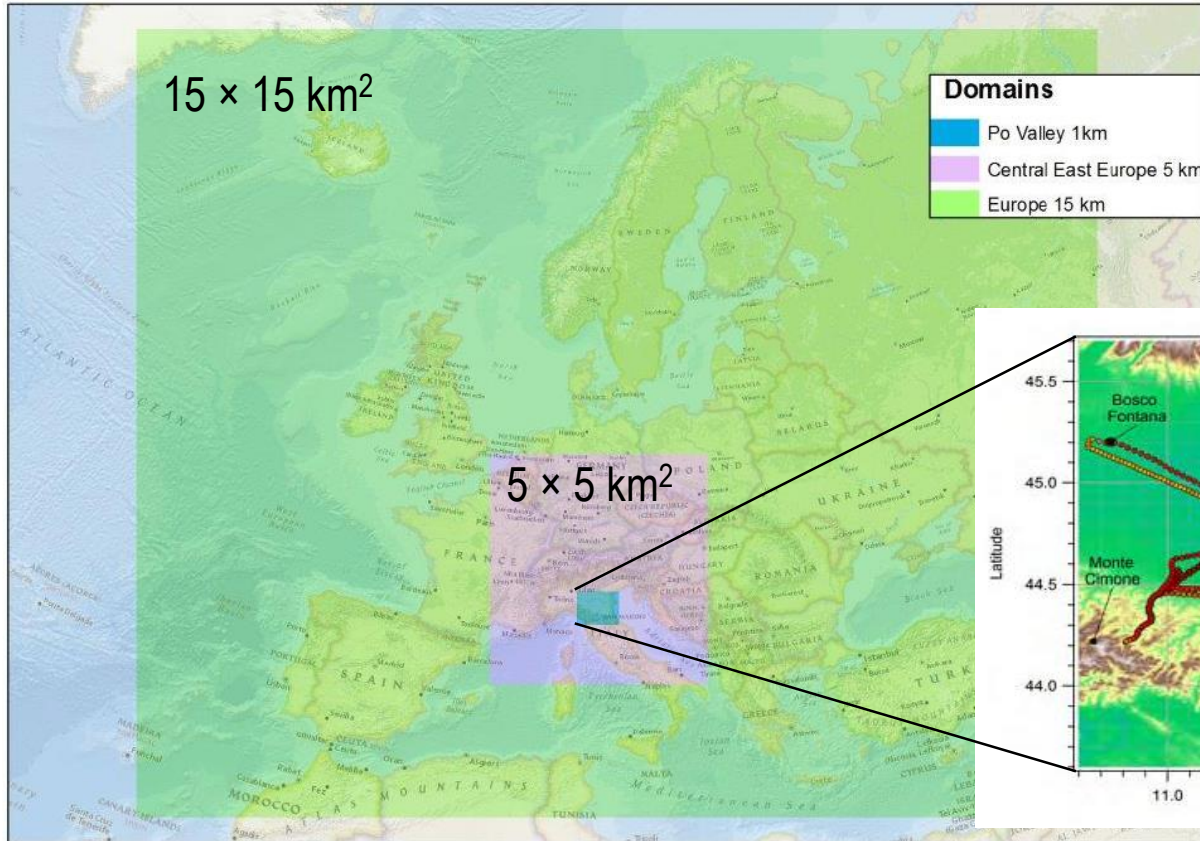




## Case study

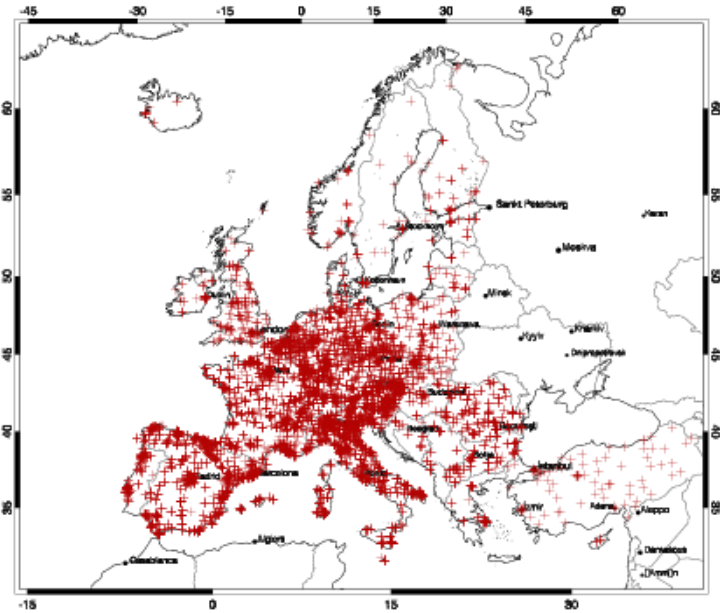


# Case study: Po valley (10-12 . 07 . 2012)



EC FP7 PEGASOS campaigns

# Case study: observations



## Ground stations observations

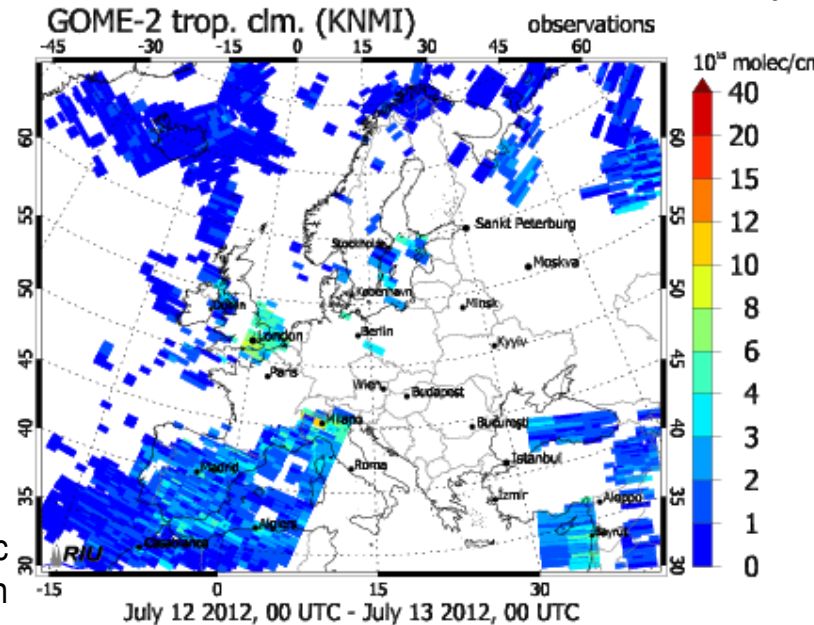
*AirBase* – the European air quality database  
(NO<sub>2</sub>, O<sub>3</sub>, CO and SO<sub>2</sub>)

## Satellite observations

GOME-2 (NO<sub>2</sub>)

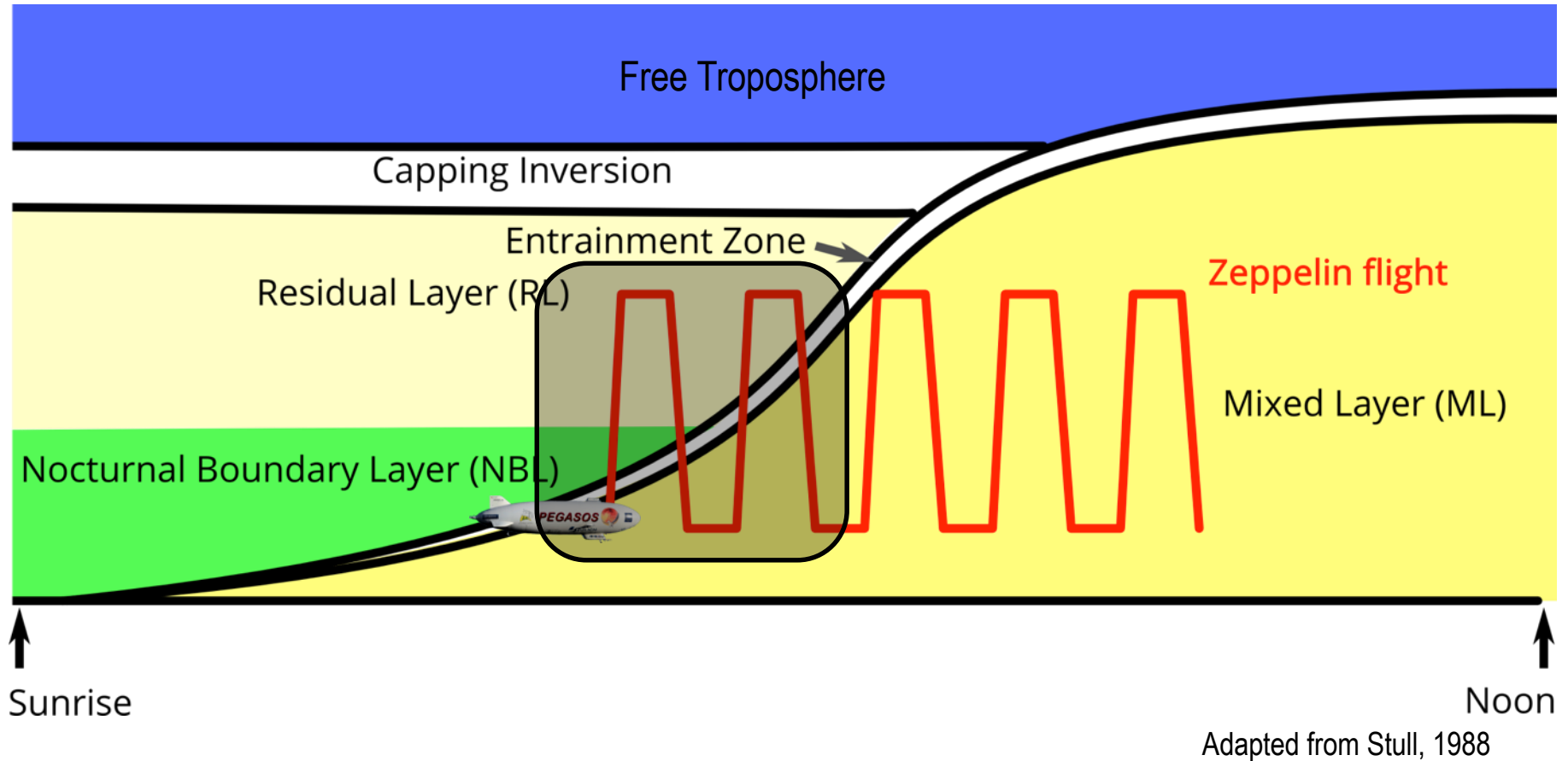
MOPITT (CO, O<sub>3</sub>)

## Zeppelin data: NO<sub>2</sub>, O<sub>3</sub>, CO



NO<sub>2</sub> tropospheric column

# Case study: Zeppelin NT flight pattern



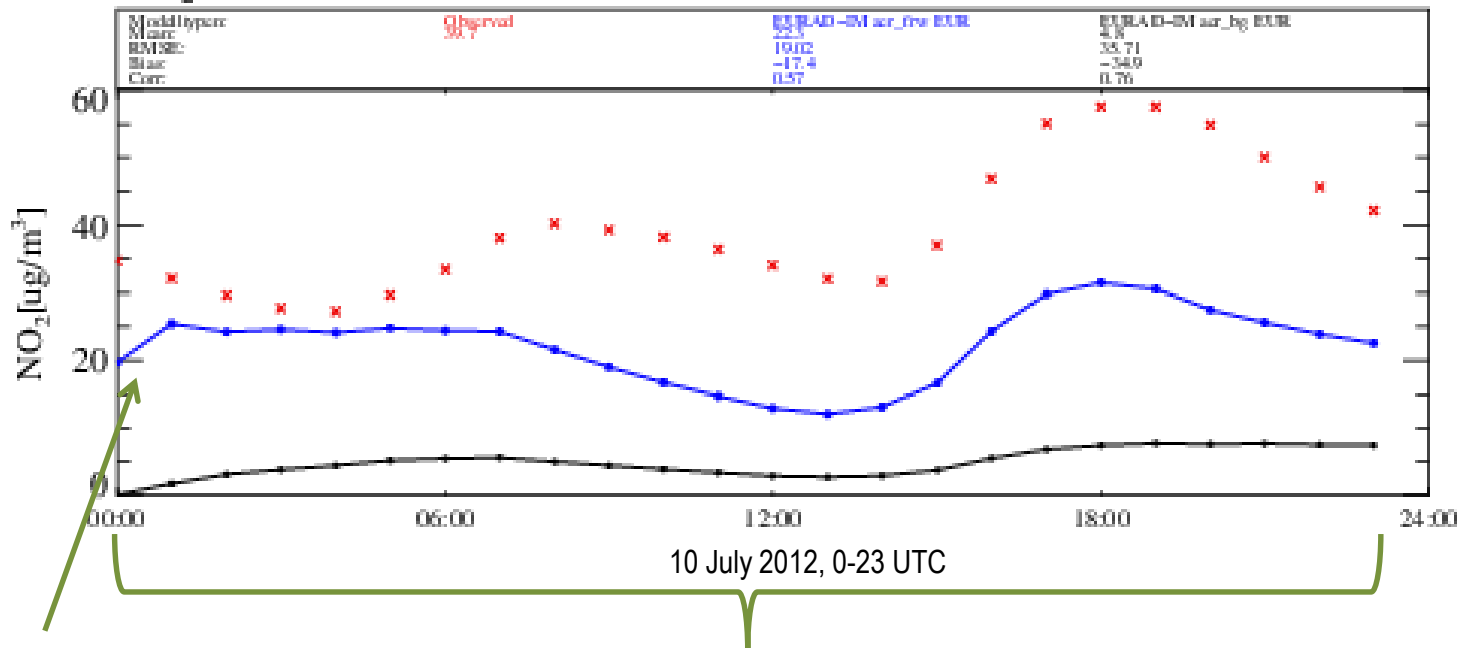
How campaign data can indicate corrections to the model?

# Case study: results (routinely observations)

European domain (15 km)

- \*\*\*\*\* In situ non-assimilated observations
- Background (ref.run)
- Analysis

NO<sub>2</sub> IT stations # 493



IV optimization

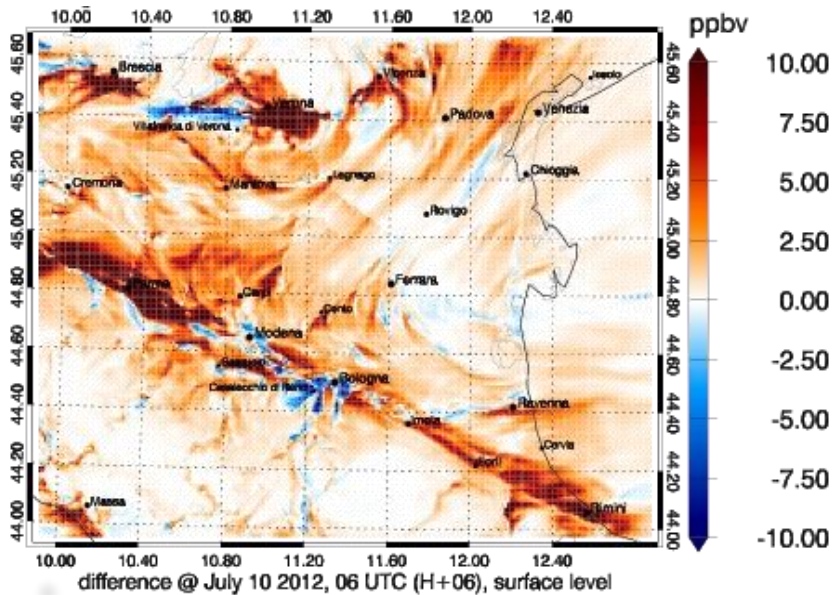
EF optimization



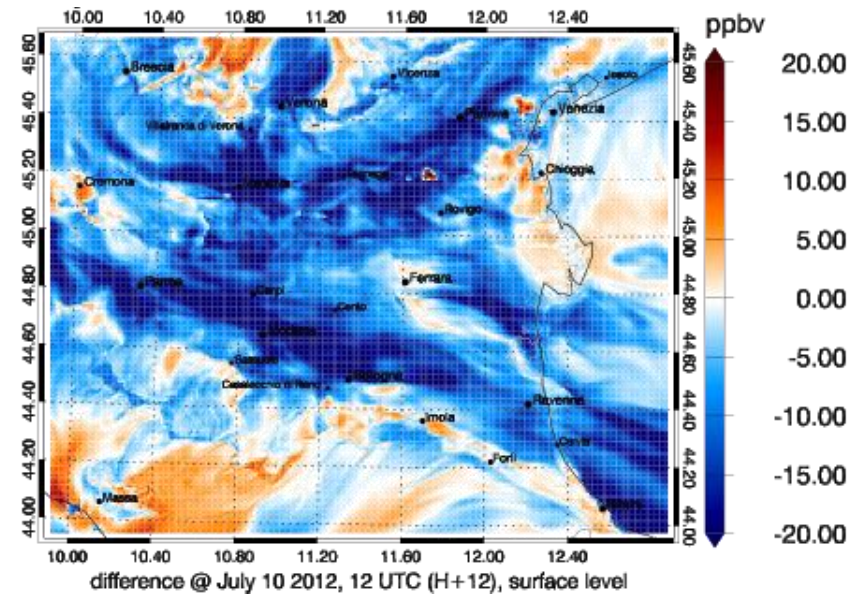
# Case study: results (routinely observations)

$\Delta$  (analysis – background)

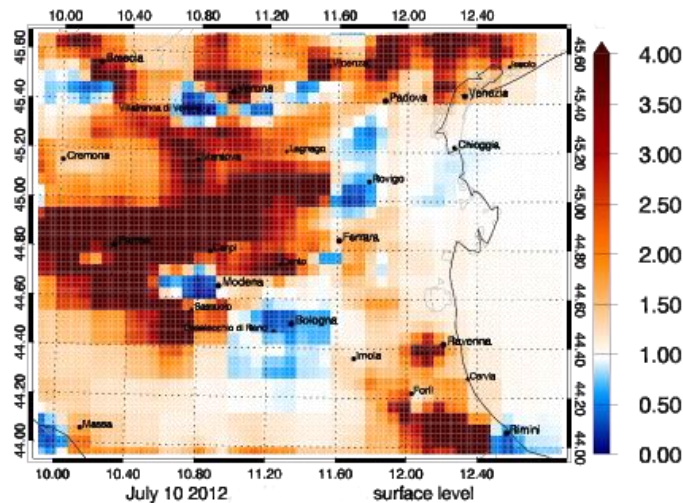
NO<sub>2</sub>



O<sub>3</sub>



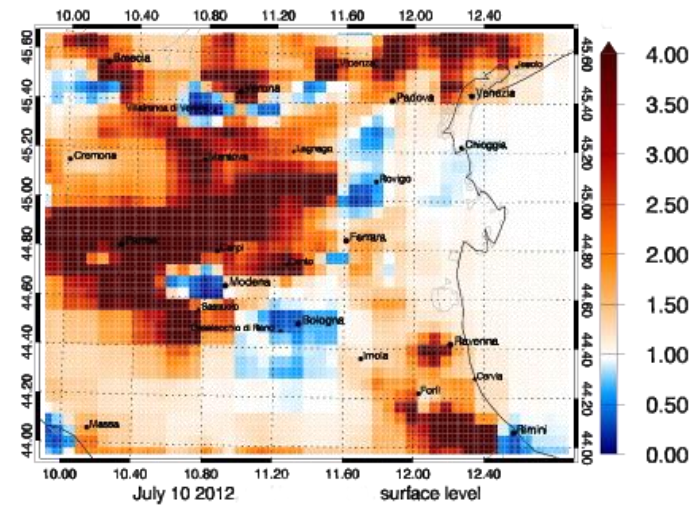
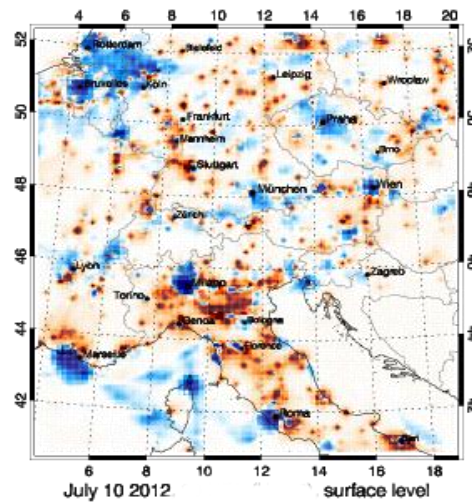
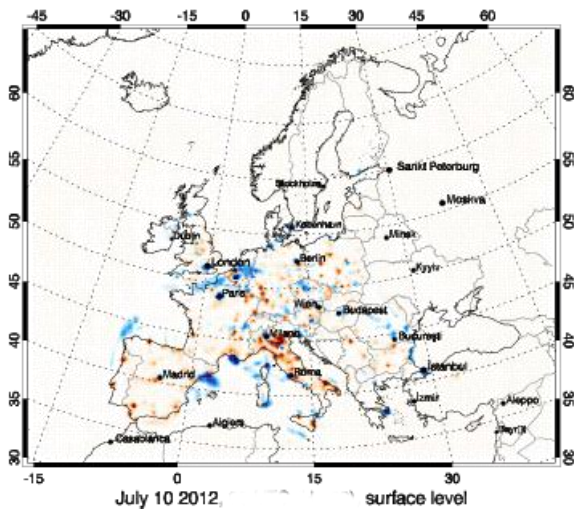
Emission factors  
corrections (NO)



Allows the update of  
emission inventories

# Case study: results (routinely observations)

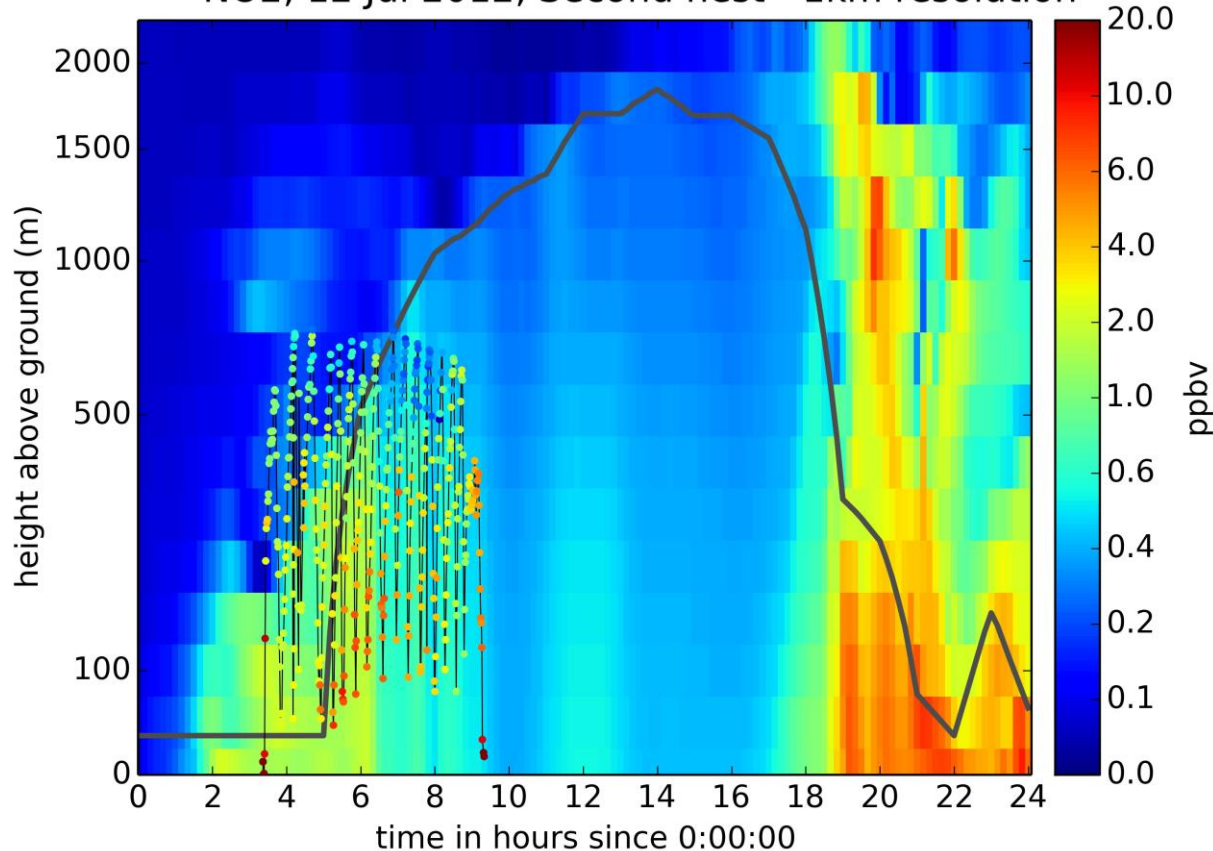
## Emission factors corrections (NO)



4D-var in high resolved grids identify emission patterns

# Case study: results (assimilation of Zeppelin campaign)

NO<sub>2</sub>, 12 Jul 2012, Second nest - 1km resolution



**Hovmöller plot**  
Resolution: 1 x 1 km<sup>2</sup>  
10 min

- In the mixed layer, the observed NO<sub>2</sub> **concentrations are higher** than the analysed ones, up to 300-400 m. (may be due to problems of PBL height simulation – WRF parameterization)
- Analysis (background colour) **match** with the airborne data in upper altitudes (500-700m) until around 8:00, as well as at close to 300 m until 6:00.



# Aerosols

Optimization of:

**Initial values**

**Emission factors (under development)**



Po Valley (Feb. 2015)

# Aerosols – initial values optimization

European domain (15×15km<sup>2</sup>)

Observations:

Ground stations (PM10, PM2.5, NO<sub>2</sub>, NH<sub>3</sub>, O<sub>3</sub>, CO, SO<sub>2</sub>)

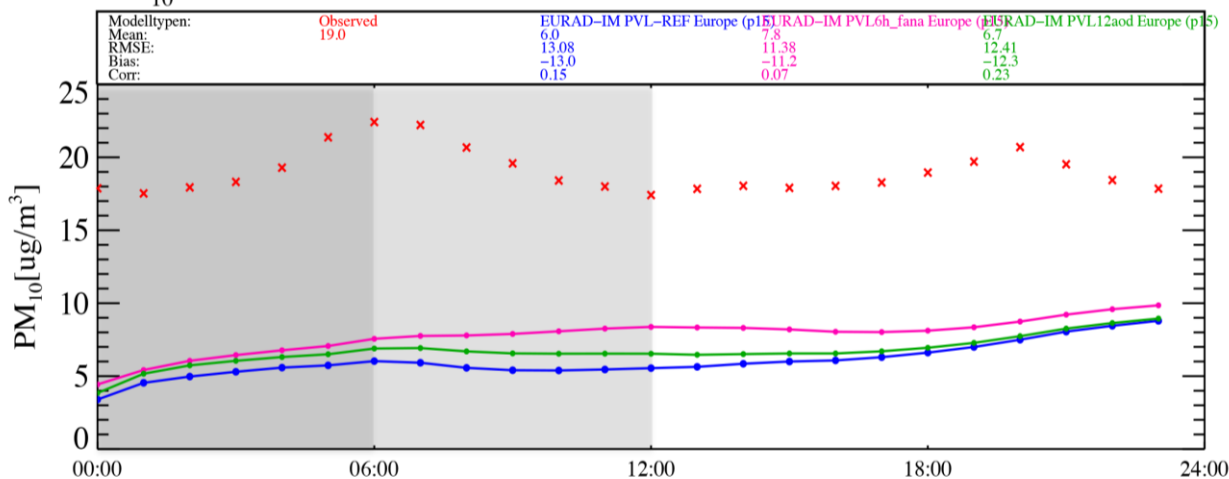
Assimilation window:

6 and 12h



\*\*\*\*\* In situ observations  
 - - - - - Ref. Run  
 - - - - - 6h assimilation window  
 - - - - - 12h assimilation window  
 [Grey Box] assimilation window

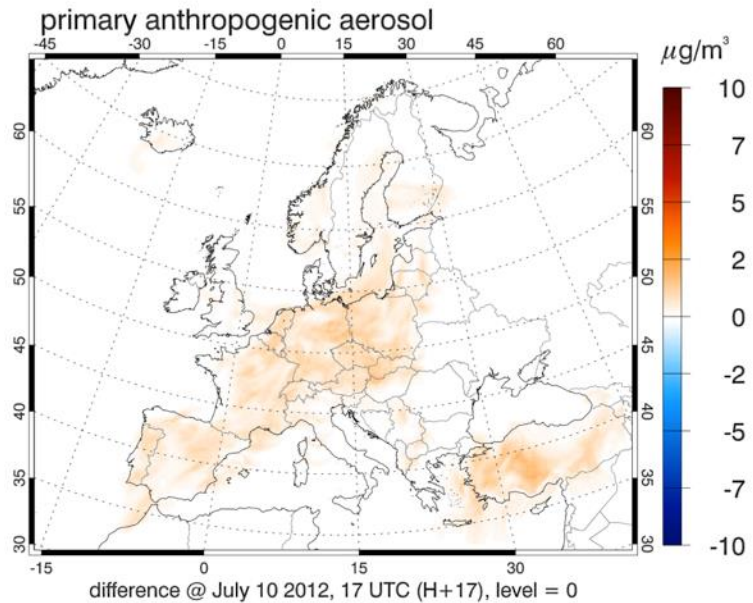
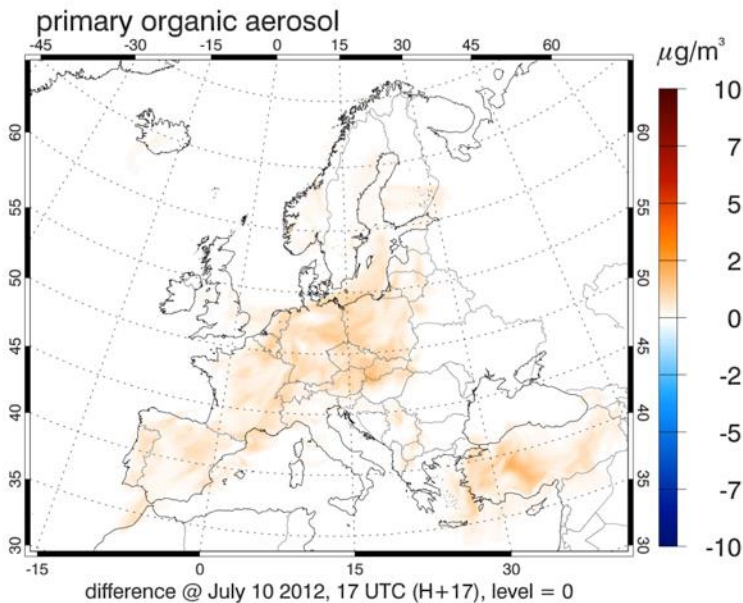
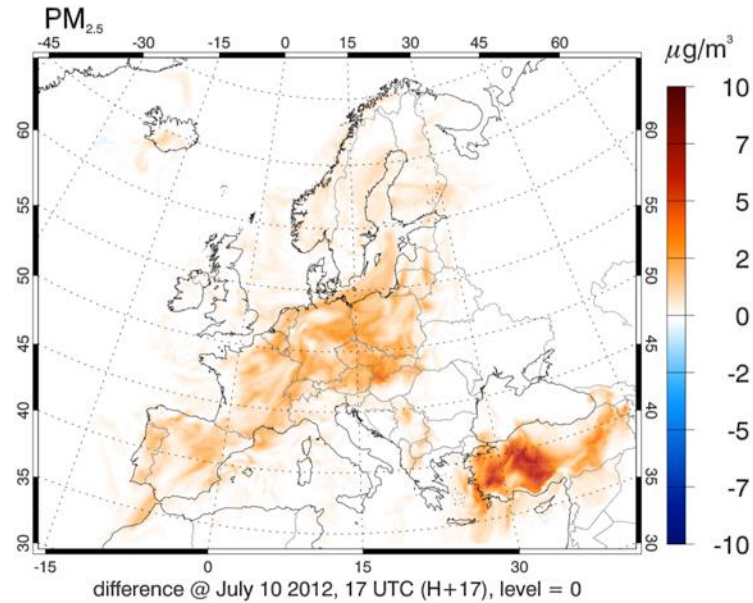
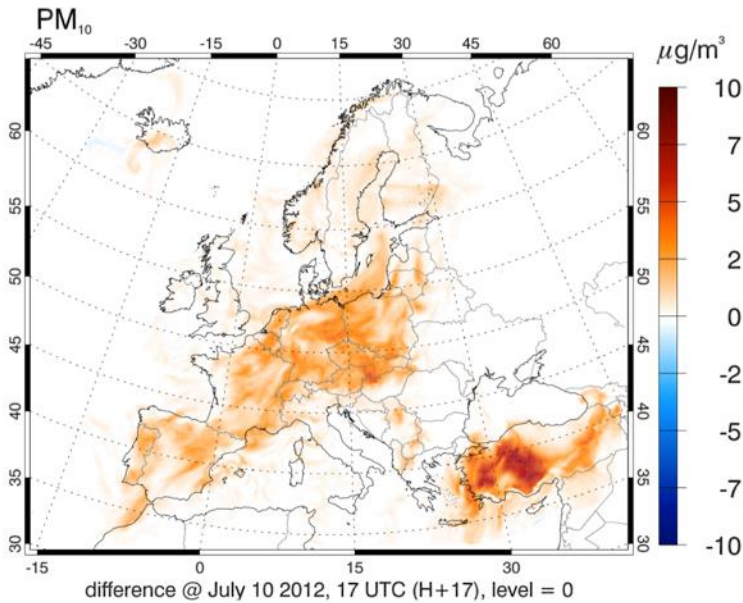
PM<sub>10</sub> all available stations # 1484



10 July 2012, 0-23 UTC

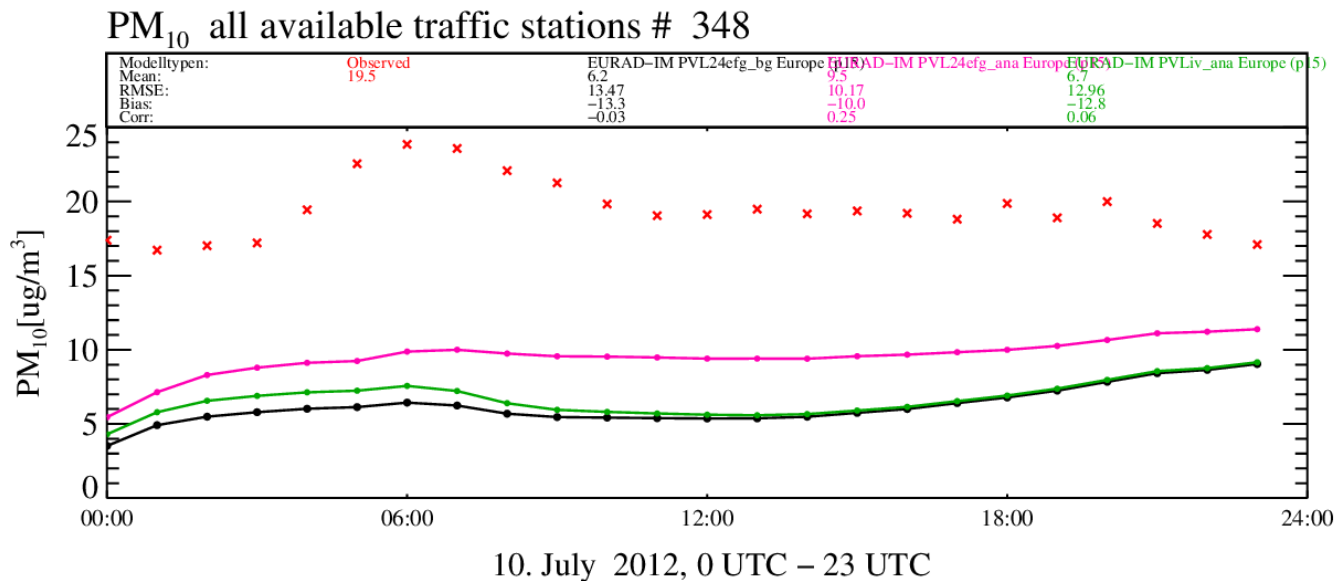
# Aerosols – initial values

$\Delta$  = analysis – background



# Aerosols – initial values optimization + gas phase

- \*\*\*\*\* In situ observations
- Background (1<sup>st</sup> guess)
- Optimal IV aerosol (6h AW)
- Optimal IV aerosol + (IV+EF) gas-phase (24h AW)



- IV<sub>aerosol</sub> + (IV+EF)<sub>gas-phase</sub> improved the model results (RMSE decreased ~ 5 μg.m<sup>-3</sup>)
- EF optimization for aerosols is the key to assume daily profile of aerosol concentrations

$$J(x_o, e_o) = \frac{1}{2} [x_o - x_b]^T \mathbf{B}^{-1} [x_o - x_b] + \frac{1}{2} \sum_{i=0}^N \left( [HM_i(x_o) - y_i]^T \mathbf{R}^{-1} [HM_i(x_o) - y_i] \right) + \frac{1}{2} [e_o - e_b]^T \mathbf{K}^{-1} [e_o - e_b]$$

~10 routines were changed

4 new routines (adjoint model)

	VORGP AJ	VORGP AI	VEC J	VEC I	VP25 AJ	VP25 AI	VAN THA	VSEAS AJ	VSEAS	VSOILA
VORGP AJ	100	80	23	23	70	70	70	0	0	0
VORGP AI		100	23	23	70	70	70	0	0	0
VEC J			100	80	70	70	70	0	0	0
VEC I				100	70	70	70	0	0	0
VP25 AJ					100	80	70	12	12	12
VP25 AI						100	70	12	12	12
VAN THA							100	12	12	12
VSEAS AJ								100	80	0
VSEAS									100	0
VSOILA										100

Background correlation matrix for EF

# Final remarks

- Assimilation of emitted species and their products (space and time)
  - good performance
- Join optimisation of IV and EF to improve AQ predictions
- Application in high resolved grids (up to  $1 \times 1 \text{ km}^2$ )
- **Contribute to correct emission inventories**



**Thanks for your attention!**